



PATENT

ATTORNEY DOCKET NO. 11692-002001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Klaus Cichutek et al. Art Unit: 1632  
Serial No.: 09/380,324 Examiner: P. Brunovskis  
Filed : December 8, 1999  
Title : RETROVIRAL VECTORS, METHODS FOR THEIR PREPARATION AND  
THEIR USE FOR GENE TRANSFER INTO CD4-POSITIVE CELLS

Box Sequence  
Commissioner for Patents  
Washington, DC 20231

STATEMENT UNDER 37 CFR §1.821(f)

I, Maureen Ruttle, declare that I personally prepared  
the paper and the computer-readable copies of the Sequence  
Listing filed herewith in the above-entitled case and that the  
content of both is the same.

Date: May 15, 2001

Maureen Ruttle  
Maureen Ruttle

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Date of Deposit December 11, 2001  
I hereby certify under 37 CFR 1.8(a) that this correspondence  
is being deposited with the United States Postal Service as  
first class mail with sufficient postage on the date indicated  
above and is addressed to the Commissioner for Patents,  
Washington, D.C. 20231.

USA G. Gray  
USA G. Gray

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RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS  
FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE AND/OR AMINO ACID SEQUENCES

In response to the communication dated December 6, 2000, Applicants submit herewith, a Sequence Listing in computer readable form as required by 37 CFR §1.821(e). In addition, Applicants submit a Sequence Listing as required under 37 CFR §1.821(c) and a statement under 37 CFR §1.821(f).

I hereby state that the submission, filed in accordance with 37 CFR §1.821(g), does not contain new matter.

Please apply any fees or credit to Deposit Account No. 06-1050, referencing Attorney Docket No. 11692-002001.

Respectfully submitted,

Date: December 11, 2001

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Theresa Gray  
Theresa Gray

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other:

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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